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SECTOR

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant: Peter J. Sims

Serial No: 09/020,393

Art Unit: 1801

Filed: February 9, 1998

Examiner: Not yet assigned

For: *COMPOSITIONS AND METHODS TO INHIBIT FORMATION
OF THE C5b-9 COMPLEX OF COMPLEMENT*

BOX MISSING PARTS
Assistant Commissioner
for Patents
Washington, D.C. 20231

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GROUP 1800

**RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION**

Sir:

Responsive to the Notice to File Missing Parts of Application mailed April 13, 1998, enclosed are copies of (1) the Transmittal of Assignment, Declaration/Power of Attorney, and Verified Statement (Declaration) Claiming Small Entity Status, which was mailed May 5, 1998; (2) the executed Declaration of Inventorship by Peter J. Sims; (3) the Verified Statements (Declaration) Claiming Small Entity Status by Oklahoma Medical Research Foundation and Blood Center Research Foundation; (4) Form PTO-1533 mailed April 13, 1998; and (5) the returned postcard showing receipt of the executed Declaration by the Patent Office on May 8, 1998.

RECEIVED

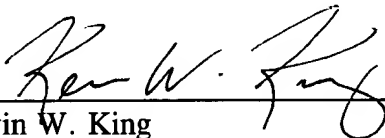
AUG 10 1998

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Payment of the surcharge for late filing of the executed Declaration was submitted with the Transmittal mailed May 5, 1998. Therefore, no surcharge is due and the application should be complete.

Respectfully submitted,

ARNALL GOLDEN & GREGORY, LLP




Kevin W. King
Reg. No. P-42,737

Date: July 8, 1998

ARNALL GOLDEN & GREGORY, LLP
2800 One Atlantic Center
1201 West Peachtree Street
Atlanta, GA 30309-3450
(404) 873 8596
(404) 873-8597 (fax)

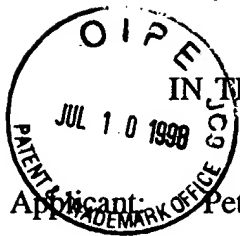
CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this Response to Notice to File Missing Parts of Application, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231.



Eva Mukasa

Date: July 8, 1998



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Peter J. Sims

Serial No: 09/020,393

Art Unit: Not yet assigned

Filed: February 9, 1998

Examiner: Not yet assigned

For: *COMPOSITIONS AND METHODS TO INHIBIT FORMATION OF THE C5b-9
COMPLEX OF COMPLEMENT*

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Assistant Commissioner for Patents
Washington, D.C. 20231

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**TRANSMITTAL OF ASSIGNMENT, DECLARATION/POWER OF ATTORNEY,
AND VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 C.F.R. §1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION**

Sir:

Enclosed for filing in the above-identified patent application are the following documents:

1. A Declaration for Patent Application and Power of Attorney executed by Peter J. Sims;
2. An Assignment by Peter J. Sims to Oklahoma Medical Research Foundation and Blood Center Research Foundation, Inc.;
3. Recordation cover sheet Form PTO-1619-A;
4. A Verified Statement (Declaration) Claiming Small Entity Status by Oklahoma Medical Research Foundation;
5. A Verified Statement (Declaration) Claiming Small Entity Status by Blood Center Research Foundation, Inc.;
6. A check in the amount of \$65.00 for late filing of the Declaration; and
7. A check in the amount of \$40.00 for recordation fee.

Applicant has not received a Notice to File Missing Parts-Filing Date Granted.

AUG 10 1998

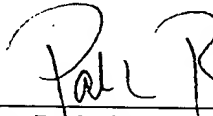
U.S.S.N. 09/020,393

Filed: February 9, 1998

TRANSMITTAL OF ASSIGNMENT, DECLARATION/POWER OF ATTORNEY, AND VERIFIED STATEMENT
(DECLARATION) CLAIMING SMALL ENTITY STATUS (37 C.F.R. § 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION

~~The Commissioner is hereby authorized to charge any other fees which may be required, or~~
credit any overpayment to Account No. 01-2507. To facilitate this process, applicants have enclosed a duplicate of this letter. It is believed that no additional fee is required with this submission.

Respectfully submitted,



Patrea L. Pabst
Reg. No. 31,284

Date: April 29, 1998

ARNALL GOLDEN & GREGORY, LLP
2800 One Atlantic Center
1201 West Peachtree Street
Atlanta, Georgia 30309-3450
(404) 873-8794
(404) 873-8795 (fax)

U.S.S.N. 09/020,393

Filed: February 9, 1998

TRANSMITTAL OF ASSIGNMENT, DECLARATION/POWER OF ATTORNEY, AND VERIFIED STATEMENT
(DECLARATION) CLAIMING SMALL ENTITY STATUS (37 C.F.R. § 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION



CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this Transmittal of Assignment and Declaration/Power of Attorney, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to Box Missing Parts, Assistant Commissioner of Patents, Washington, D.C. 20231.


Maeve J. Barnwell

Date: May 5, 1998

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AUG 10 1998

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The "Received" stamp of the Patent Office ~~unprinted~~ hereon
acknowledges the filing of:

Applicant: Peter J. Sims

MAY - 8 1998

Serial & Docket No.: 09/020,393

OMRF 170

Filed: February 9, 1998

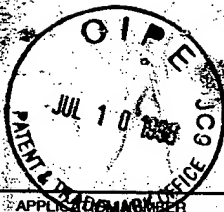
Papers Submitted:

Transmittal of Assignment and Declaration/Power of Attorney;
Assignment; Recordation Cover Sheet Form PTO-1619A;
Declaration for Patent Application and Power of Attorney;
Certificate of Mailing Under 37 CFR §1.8(a); a check in the
amount of \$65.00 for late filing of Declaration; and a check in the
amount of \$40.00 for recordation fee.

20487/0222

Date: May 5, 1998
By: Patrea L. Pabst, Reg. No. 31,284

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GROUP 1800



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20530

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/020,393 02/09/98 SIMS F UMRF-170

0222/0413

PATREA L PABST
ARNALL GOLDEN & GREGORY
2800 W PEACHTREE STREET
ATLANTA GA 30309-3450

NOT ASSIGNED

DATE MAILED: 1801

7/10/98

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted 04/13/98

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 130.

- ☐ 1. The statutory basic filing fee is:
- ☐ missing.
 - ☐ insufficient.
- Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.
- \$ _____ for _____ independent claims over 3.
- \$ _____ for _____ dependent claims over 20.
- \$ _____ for multiple dependent claim surcharge.

- Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☒ 3. The oath or declaration:
- ☐ is missing or unexecuted.
 - ☐ does not cover the newly submitted items.
 - ☐ does not identify the application to which it applies.
 - ☐ does not include the city and state or foreign country of applicant's residence.
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.
- See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

AS

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

BEST AVAILABLE COPY